

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2001**

Department of the Treasury  
Internal Revenue Service

*Note. The organization may be able to use a copy of this return to satisfy state reporting requirements*

For calendar year 2001, or tax year beginning \_\_\_\_\_, 2001, and ending \_\_\_\_\_

**G** Check all that apply:  Initial return  Final return  Amended return  Address Change  Name change

**Use the IRS label** Otherwise, print or type See Specific Instructions

**DOROTHY D AND JOSEPH A MOLLER  
FOUNDATION  
5855 EAST MOCKINGBIRD LANE  
SCOTTSDALE, AZ 85253**

**A Employer Identification Number**  
74-6355685

**B Telephone Number (see instructions)**  
480-991-3881

**C** If exemption application is pending, check here

**D 1** Foreign organizations, check here   
**2** Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column c, line 16)  
\$ 10,340,361

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I column d must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns b, c, and d may not necessarily equal the amounts in column a) (see instructions)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc., received (att sch) <input type="checkbox"/> if the foundn is not req to att Sch B	4,358,240			
	<b>2</b> Distributions from split interest trusts				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	255,810	255,810		
	<b>5a</b> Gross rents				
	<b>b</b> (Net rental income or loss)				
	<b>6a</b> Net gain/(loss) from sale of assets not on line 10	2,428,725	STATEMENT 1		
	<b>b</b> Gross sales prices for all assets on line 6a	4,106,403			
	<b>7</b> Capital gain net income (from Part IV, line 2)		2,428,725		
	<b>8</b> Net short term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch)					
<b>11</b> Other income (attach schedule)					
<b>12 Total</b> Add lines 1 through 11	7,042,775	2,684,535	0		
<b>ADMINISTRATIVE EXPENSES</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule) SEE ST 2	6,630			
	<b>b</b> Accounting fees (attach sch) SEE ST 3	3,636			
	<b>c</b> Other prof fees (attach sch)				
	<b>17</b> Interest	512			
	<b>18</b> Taxes (attach schedule) SEE STMT 4	113,060			
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications	35			
<b>23</b> Other expenses (attach schedule) SEE STATEMENT 5	249				
<b>24</b> Total operating and administrative expenses Add lines 13 through 23	124,122				
<b>25</b> Contributions, gifts, grants paid PART XV	148,000			148,000	
<b>26</b> Total expenses and disbursements Add lines 24 and 25	272,122	0	0	148,000	
<b>27</b> Subtract line 26 from line 12.					
<b>a Excess of revenue over expenses and disbursements</b>	6,770,653				
<b>b Net investment income</b> (if negative enter -0)		2,684,535			
<b>c Adjusted net income</b> (if negative, enter -0)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non interest-bearing			
	2 Savings and temporary cash investments	3,961,588	6,235,365	6,235,365
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,923,568	3,022,296	4,104,996
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe )				
16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	5,885,156	9,257,661	10,340,361	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 Total liabilities (add lines 17 through 22)	0	0	
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31 <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	5,885,156	9,257,661	
	28 Paid in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	5,885,156	9,257,661		
31 Total liabilities and net assets/fund balances (see instructions)	5,885,156	9,257,661		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end of year figure reported on prior year's return)	1	5,885,156
2 Enter amount from Part I, line 27a	2	6,770,653
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	12,655,809
5 Decreases not included in line 2 (itemize) SEE STATEMENT 6	5	3,398,148
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	9,257,661

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a SEE STATEMENT 7			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <span style="float:right">[ If gain, also enter in Part I, line 7 If (loss), enter 0 in Part I, line 7 ]</span>	2	2,428,725
3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter 0 in Part I, line 8 <span style="float:right">]</span>	3	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If 'Yes,' the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2000	118,550	4,230,719	0.028021
1999	83,436	2,463,020	0.033875
1998	32,412	1,670,996	0.019397
1997	10,848	706,426	0.015356
1996	16,249	258,964	0.062746

2 Total of line 1, column (d)	2	0.159395
3 Average distribution ratio for the 5 year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.031879
4 Enter the net value of noncharitable use assets for 2001 from Part X, line 5	4	9,756,276
5 Multiply line 4 by line 3	5	311,020
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	26,845
7 Add lines 5 and 6.	7	337,865
8 Enter qualifying distributions from Part XII, line 4	8	148,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1 a	Exempt operating foundations described in Section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the Section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I line 27b	1	53,691
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under Section 511 (domestic Section 4947(a)(1) trusts and taxable foundations only. Others enter 0)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic Section 4947(a)(1) trusts and taxable foundations only. Others enter 0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter 0	5	
6	Credits/Payments		
a	2001 estimated tax pmts and 2000 overpayment credited to 2001	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount on line 10 to be <b>Credited to 2002 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a		X
1 b		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities</i>		
1 c		X
d		
e		
2		X
3		X
4 a		X
4 b		N/A
5		X
6	X	
7	X	
8 a		
8 b	X	
9		X
10		X
11	X	

1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

*If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities*

1 c Did the organization file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (Section 4955) imposed during the year  
(1) On the organization  \$ 0 (2) On organization managers  \$ 0

e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers  \$ 0

2 Has the organization engaged in any activities that have not previously been reported to the IRS?  
*If 'Yes,' attach a detailed description of the activities*

3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*

4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?  
b If 'Yes,' has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If 'Yes,' attach the statement required by General Instruction T*

6 Are the requirements of Section 508(e) (relating to Sections 4941 through 4945) satisfied either  
• By language in the governing instrument or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the organization have at least \$5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*

8 a Enter the states to which the foundation reports or with which it is registered (see instructions)  \_\_\_\_\_  
ARIZONA

b If the answer is 'Yes' to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If 'No,' attach explanation*

9 Is the organization claiming status as a private operating foundation within the meaning of Section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*

10 Did any persons become substantial contributors during the tax year?  
*If 'Yes,' attach a schedule listing their names and addresses*

11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?  
Web site address  N/A

12 The books are in care of  DOROTHY D MOLLER Telephone no  480-991-3881  
Located at  5855 E MOCKINGBIRD LANE, SCOTTSDALE, AZ ZIP + 4  85253

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 PF in lieu of Form 1041 - Check here  N/A  
and enter the amount of tax-exempt interest received or accrued during the year  13  N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1a During the year did the organization (either directly or indirectly)

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception: Check 'No' if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)

Yes No
[ ] Yes [X] No
[ ] Yes [X] No
[ ] Yes [X] No
[ ] Yes [X] No
[ ] Yes [X] No

Table with 3 columns: Question, Yes, No. Row 1a: Yes, No. Row 1b: N/A. Row 1c: X.

1b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations Section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

[ ]

1c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?

2 Taxes on failure to distribute income (Section 4942) (does not apply for years the organization was a private operating foundation defined in Section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?

If 'Yes,' list the years 20 \_\_, 19 \_\_, 19 \_\_, 19 \_\_

[ ] Yes [X] No

b Are there any years listed in 2a for which the organization is not applying the provisions of Section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying Section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)

c If the provisions of Section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here

20 \_\_, 19 \_\_, 19 \_\_, 19 \_\_

[ ] Yes [X] No

3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

[ ] Yes [X] No

b If 'Yes,' did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5 year period (or longer period approved by the Commissioner under Section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10, 15, or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001)

Table with 3 columns: Question, Yes, No. Row 3b: N/A.

4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?

Table with 3 columns: Question, Yes, No. Row 4a: X.

b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?

Table with 3 columns: Question, Yes, No. Row 4b: X.

5a During the year did the organization pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (Section 4945(e))?
(2) Influence the outcome of any specific public election (see Section 4955), or to carry on, directly or indirectly, any voter registration drive?
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc, organization described in Section 509(a)(1), (2), or (3), or Section 4940(d)(2)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No
[ ] Yes [X] No
[ ] Yes [X] No
[ ] Yes [X] No
[ ] Yes [X] No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations Section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

[ ]

c If the answer is 'Yes' to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A [ ] Yes [ ] No

If 'Yes,' attach the statement required by Regulations Section 53.4945-5(d)

6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

[ ] Yes [X] No

b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Table with 3 columns: Question, Yes, No. Row 6b: X.

If you answered 'Yes' to 6b also file 8870

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions)**

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions) If none, enter 'None'**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

**3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'None'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 ----- -----	
2 ----- -----	
All other program related investments See instructions	
3 ----- -----	
<b>Total</b> Add lines 1 through 3	<b>0</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	<b>1a</b>	4,050,527
b Average of monthly cash balances	<b>1b</b>	5,854,322
c Fair market value of all other assets (see instructions)	<b>1c</b>	
d <b>Total</b> (add lines 1a, b and c)	<b>1d</b>	9,904,849
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
2 Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
3 Subtract line 2 from line 1d	<b>3</b>	9,904,849
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	148,573
5 <b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	9,756,276
6 <b>Minimum investment return</b> Enter 5% of line 5	<b>6</b>	487,814

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1 Minimum investment return from Part X, line 6		<b>1</b>	487,814
2a Tax on investment income for 2001 from Part VI, line 5	<b>2a</b>	53,691	
b Income tax for 2001 (This does not include the tax from Part VI)	<b>2b</b>		
c Add lines 2a and 2b			<b>2c</b> 53,691
3 Distributable amount before adjustments Subtract line 2c from line 1			<b>3</b> 434,123
4a Recoveries of amounts treated as qualifying distributions	<b>4a</b>		
b Income distributions from section 4947(a)(2) trusts	<b>4b</b>		
c Add lines 4a and 4b			<b>4c</b>
5 Add lines 3 and 4c			<b>5</b> 434,123
6 Deduction from distributable amount (see instructions)			<b>6</b>
7 <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			<b>7</b> 434,123

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	<b>1a</b>	148,000
b Program related investments - Total from Part IX-B	<b>1b</b>	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	<b>2</b>	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	<b>3a</b>	
b Cash distribution test (attach the required schedule)	<b>3b</b>	
4 <b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	148,000
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
6 <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	148,000

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				434,123.
2 Undistributed income, if any, as of the end of 2000:				
a Enter amount for 2000 only			141,984	
b Total for prior years 20__, 19__, 19__		0		
3 Excess distributions carryover, if any, to 2001:				
a From 1996				
b From 1997				
c From 1998				
d From 1999				
e From 2000				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ \$ 148,000				
a Applied to 2000, but not more than line 2a			141,984	
b Applied to undistributed income of prior years (Election required - see instructions)		0		
c Treated as distributions out of corpus (Election required - see instructions)	0			
d Applied to 2001 distributable amount				6,016
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d) the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount - see instructions		0		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount - see instructions			0	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				428,107
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 1997				
b Excess from 1998				
c Excess from 1999				
d Excess from 2000				
e Excess from 2001				



**Part XIV Private Operating Foundations** (see instructions and Part VII A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in Section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under Section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (Section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in Section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year)

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See Section 507(d)(2))

DOROTHY D MOLLER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here  if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><sup>a</sup> Paid during the year SEE ATTACHED LISTS</p>	NONE		ALL CONTRIBUTIONS MADE TO BE USED BY EACH ORGANIZATION FOR THE PURPOSE FOR WHICH IT WAS ORGANIZED AND WHICH PROVIDED THE ORGANIZATION WITH IT'S 501(C) (3) STATUS	148,000
<b>Total</b>				▶ <b>3a</b> 148,000
<sup>b</sup> Approved for future payment				
<b>Total</b>				▶ <b>3b</b>





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

Supplementary information for  
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

**2001**

Name of Organization **DOROTHY D AND JOSEPH A MOLLER  
FOUNDATION**

Employer Identification Number  
**74-6355685**

Organization type (check one)

Filers of

Form 990 or 990 EZ

Section:

- 501(c)(\_\_\_\_) (enter number) organization  
 4947(a)(1) nonexempt charitable trust not treated as a private foundation  
 527 political organization

Form 990 PF

- 501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **general rule** or a **special rule** (Note: Only a Section 501(c)(7), (8), or (10) organization can check box(es) for both the general rule and a special rule – see instructions)

**General Rule –**

- For organizations filing Form 990, 990 EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

**Special Rules –**

- For a Section 501(c)(3) organization filing Form 990, or Form 990 EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the Parts unless the general rule applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ) ▶ \$ \_\_\_\_\_

**Caution:** Organizations that are not covered by the general rule and/or the special rules do not file Schedule B (Form 990, 990 EZ, or 990-PF) but **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ or 990 PF)

BAA

Schedule B (Form 990, 990 EZ, or 990 PF) (2001)

Name of Organization

Employer Identification Number

DOROTHY D AND JOSEPH A MOLLER

74-6355685

**Part I** Contributors (see instructions)

(a) Number	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 3,278,879	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
2	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 189,621	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
3	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 213,165	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
4	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 531,300	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
5	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 26,100	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
6	DOROTHY D MOLLER 5558 EAST MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	\$ 119,175	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)

Name of Organization

Employer Identification Number

DOROTHY D AND JOSEPH A MOLLER

74-6355685

**Part II** Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	90,141 SH AC NIELSEN CORP COMMON STOCK	\$ 3,278,879	1/02/01
2	6,105 SH RALSTON PURINA COMMON STOCK	\$ 189,621	1/22/01
3	4,500 SH HONEYWELL INTERNATIONAL COMMON STOCK	\$ 213,165	1/30/01
4	10,500 SH UNION CARBIDE COMMON STOCK	\$ 531,300	1/30/01
5	400 SH NEWPORT NEWS SHIPBUILDING COMMON STOCK	\$ 26,100	5/08/01
6	2,500 SH DEAN FOODS CO COMMON STOCK	\$ 119,175	5/11/01

BAA





**Underpayment of Estimated Tax by Corporations**

**2001**

Department of the Treasury  
Internal Revenue Service

▶ See separate instructions.  
▶ Attach to the corporation's tax return.

Name **DOROTHY D AND JOSEPH A MOLLER  
FOUNDATION**

Employer Identification Number  
**74-6355685**

**Note** In most cases, the corporation does not need to file Form 2220 (See Part I below for exceptions.) The IRS will figure any penalty owed and bill the corporation. If the corporation does not need to file Form 2220, it may still use it to figure the penalty. Enter the amount from line 36 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

**Part I Reasons for Filing** — Check the boxes below that apply to the corporation. If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty. If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty.

- 1  The corporation is using the annualized income installment method
- 2  The corporation is using the adjusted seasonal installment method
- 3  The corporation is a 'large corporation' figuring its first required installment based on the prior year's tax

**Note** The corporation also must file Form 2220 if it has a suspended research credit allowed for the current year (see the instructions for line 4) or it is an indirectly affected taxpayer (see instructions).

**Part II Figuring the Underpayment**

<b>4</b> Total tax (see instructions)	<b>4</b>	<b>53,691</b>
<b>5a</b> Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 4	<b>5a</b>	
<b>b</b> Look back interest included on line 4 under Section 460(b)(2) for completed long-term contracts or Section 167(g) for depreciation under the income forecast method	<b>5b</b>	
<b>c</b> Credit for federal tax paid on fuels (see instructions)	<b>5c</b>	
<b>d Total</b> Add lines 5a through 5c	<b>5d</b>	
<b>6</b> Subtract line 5d from line 4. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	<b>6</b>	<b>53,691</b>
<b>7</b> Enter the tax shown on the corporation's 2000 income tax return. <b>Caution:</b> See instructions before completing this line.	<b>7</b>	<b>56,654</b>
<b>8</b> Enter the smaller of line 6 or line 7. If the corporation must skip line 7, enter the amount from line 6.	<b>8</b>	<b>53,691</b>

	(a)	(b)	(c)	(d)
<b>9</b> <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. <b>Exception:</b> Enter October 1, 2001, instead of September 15, 2001.	5/15/01	6/15/01	10/01/01	12/15/01
<b>10</b> <b>Required installments.</b> If the box on line 1 and/or line 2 above is checked, enter the amounts from Schedule A, line 40. If the box on line 3 (but not 1 or 2) is checked, see the instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 8 above in each column.	13,422	13,423	13,423	13,423
<b>11</b> Estimated tax paid or credited for each period (see instructions). For column (a) only, enter the amount from line 11 on line 15.	14,200	14,200	14,200	14,200
<b>Complete lines 12 through 18 of one column before going to the next column.</b>				
<b>12</b> Enter amount, if any, from line 18 of the preceding column.		778	1,555	2,332
<b>13</b> Add lines 11 and 12.		14,978	15,755	16,532
<b>14</b> Add amounts on lines 16 and 17 of the preceding column.				
<b>15</b> Subtract line 14 from line 13. If zero or less, enter 0.	14,200	14,978	15,755	16,532
<b>16</b> If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter 0.		0	0	
<b>17</b> <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18.				
<b>18</b> <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column.	778	1,555	2,332	3,109

**Complete Part III on page 2 to figure the penalty. If there are no entries on line 17, no penalty is owed.**

**BAA** For paperwork reduction act notice, see separate instructions.

**Part III Figuring the Penalty**

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see instructions) (Form 990-PF and Form 990-T filers' Use 5th month instead of 3rd month )	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2001 and before 7/1/2001	21			
22 Underpayment on line 17 $\times \frac{\text{Number of days on line 21}}{365} \times 8\%$	22			
23 Number of days on line 20 after 6/30/2001 and before 1/1/2002	23			
24 Underpayment on line 17 $\times \frac{\text{Number of days on line 23}}{365} \times 7\%$	24			
25 Number of days on line 20 after 12/31/2001 and before 4/1/2002	25			
26 Underpayment on line 17 $\times \frac{\text{Number of days on line 25}}{365} \times 6\%$	26			
27 Number of days on line 20 after 3/31/2002 and before 7/1/2002	27			
28 Underpayment on line 17 $\times \frac{\text{Number of days on line 27}}{365} \times \text{ } \%$	28			
29 Number of days on line 20 after 6/30/2002 and before 10/1/2002	29			
30 Underpayment on line 17 $\times \frac{\text{Number of days on line 29}}{365} \times \text{ } \%$	30			
31 Number of days on line 20 after 9/30/2002 and before 1/1/2003	31			
32 Underpayment on line 17 $\times \frac{\text{Number of days on line 31}}{365} \times \text{ } \%$	32			
33 Number of days on line 20 after 12/31/2002 and before 2/16/2003	33			
34 Underpayment on line 17 $\times \frac{\text{Number of days on line 33}}{365} \times \text{ } \%$	34			
35 Add lines 22, 24, 26, 28, 30, 32, and 34	35			
36 <b>Penalty.</b> Add columns (a) through (d), of line 35 Enter the total here and on Form 1120, line 33, Form 1120 A, line 29, or the comparable line for other income tax returns	36			0

\*For underpayments paid after March 31, 2002 For lines 28, 30, 32, and 34, use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS web site at [www.irs.gov](http://www.irs.gov). You can also call 1 800 829 1040 to get interest rate information

**FEDERAL STATEMENTS**  
**DOROTHY D. AND JOSEPH A. MOLLER**  
**FOUNDATION**

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 6**  
**NET GAIN (LOSS) FROM NONINVENTORY SALES**

PUBLICLY TRADED SECURITIES

GROSS SALES PRICE 4,106,403  
 COST OR OTHER BASIS 1,677,678

TOTAL GAIN (LOSS) PUBLICLY TRADED SECURITIES \$ 2,428,725

TOTAL NET GAIN (LOSS) FROM NONINVENTORY SALES \$ 2,428,725

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOHN AYAUB	\$ 6,630			
TOTALS	<u>\$ 6,630</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALLEN, CHEW & CO , P C	\$ 3,636			
TOTALS	<u>\$ 3,636</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMOUNT PAID WITH 2000 TAX RETURN	\$ 56,154			
EXTIMATED INCOME TAXES	56,800			
FOREIGN TAX PAID	106			
TOTALS	<u>\$ 113,060</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	\$ 249			
TOTALS	\$ 249	\$ 0	\$ 0	\$ 0

**STATEMENT 6**  
**FORM 990-PF, PART III, LINE 5**  
**OTHER DECREASES**

EXCESS OF FMV OVER BV OF STOCK CONTRIBUT	\$ 3,249,234
OTHER BOOKKEEPING ADJUSTMENTS	148,914
TOTAL	\$ 3,398,148

**STATEMENT 7**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

- 1)
 

(A) DESCRIPTION	1,000 SH GUIDANT
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	1/05/99
(D) DATE SOLD	2/28/01
  
- 2)
 

(A) DESCRIPTION	1,720 SH TERRA NETWORKS
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	6/25/98
(D) DATE SOLD	2/27/01
  
- 3)
 

(A) DESCRIPTION	90,141 A C NIELSEN
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	10/08/93
(D) DATE SOLD	2/28/01
  
- 4)
 

(A) DESCRIPTION	1,000 SH NORTEL NETWORKS
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	8/27/99
(D) DATE SOLD	5/24/01
  
- 5)
 

(A) DESCRIPTION	200 SH CHARLES SCHWAB
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	8/15/00
(D) DATE SOLD	5/24/01
  
- 6)
 

(A) DESCRIPTION	300 SH BROADCOM
(B) HOW ACQUIRED	PURCHASED
(C) DATE ACQUIRED	1/10/01

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

(D) DATE SOLD 5/21/01

7)  
 (A) DESCRIPTION 2,000 SH JDS UNIPHASE  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 7/13/00  
 (D) DATE SOLD 7/02/01

8)  
 (A) DESCRIPTION 08 MCDATA  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 2/07/01  
 (D) DATE SOLD 7/07/01

9)  
 (A) DESCRIPTION 220 SH MCDATA  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 2/07/01  
 (D) DATE SOLD 7/02/01

10  
 (A) DESCRIPTION 3,000 SH CISCO SYSTEMS  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 3/30/98  
 (D) DATE SOLD 7/25/01

11  
 (A) DESCRIPTION 6,000 SH EMC STORAGE  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 7/07/98  
 (D) DATE SOLD 7/25/01

12  
 (A) DESCRIPTION 500 SH BALLARD POWER  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 11/01/00  
 (D) DATE SOLD 8/06/01

13  
 (A) DESCRIPTION 600 SH MINIMED  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 8/27/99  
 (D) DATE SOLD 8/31/01

14  
 (A) DESCRIPTION 1,000 SH CVS CORP  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 11/17/00  
 (D) DATE SOLD 11/01/01

15  
 (A) DESCRIPTION 500 SH ELAN PLC  
 (B) HOW ACQUIRED PURCHASED  
 (C) DATE ACQUIRED 11/10/99  
 (D) DATE SOLD 11/01/01

16

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

	(A) DESCRIPTION	500 SH AM SUPERCONDUCTER
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	11/16/00
	(D) DATE SOLD	11/19/01
17	(A) DESCRIPTION	900 SH QWEST
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	2/18/99
	(D) DATE SOLD	11/19/01
18	(A) DESCRIPTION	500 SH REHABCARE
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	7/19/01
	(D) DATE SOLD	11/19/01
19	(A) DESCRIPTION	300 SH TELLABS
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	11/10/99
	(D) DATE SOLD	11/19/01
20	(A) DESCRIPTION	6,105 SH RALSTON PURINA
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	1/22/01
	(D) DATE SOLD	12/18/01
21	(A) DESCRIPTION	1,000 SH CALPINE CORP
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	10/20/00
	(D) DATE SOLD	11/14/01
22	(A) DESCRIPTION	4,000 SH SUN MICHROSYSTEMS
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	8/17/99
	(D) DATE SOLD	12/31/01
23	(A) DESCRIPTION	1,000 SH HALLIBURTON
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	9/14/97
	(D) DATE SOLD	12/31/01
24	(A) DESCRIPTION	2,500 SH DEAN FOODS
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	10/09/93
	(D) DATE SOLD	12/31/01
25	(A) DESCRIPTION	DOW CHEMICAL CASH IN LIEU
	(B) HOW ACQUIRED	PURCHASED
	(C) DATE ACQUIRED	1/30/01

**FEDERAL STATEMENTS**  
**DOROTHY D. AND JOSEPH A. MOLLER**  
**FOUNDATION**

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

	(D) DATE SOLD								
		(E) GROSS SALES	(F) DEPREC ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ BAS 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1)	4/10/01	50,486		51,988	-1,502				-1,502
2)		19,549		13,846	5,703				5,703
3)		3312682		690,480	2622202				2,622,202
4)		14,297		33,142	-18,845				-18,845
5)		3,904		7,605	-3,701				-3,701
6)		13,140		30,951	-17,811				-17,811
7)		25,902		63,200	-37,298				-37,298
8)		15		18	-3				-3
9)		4,259		4,023	236				236
10)		53,786		34,019	19,767				19,767
11)		107,814		184,379	-76,565				-76,565
12)		20,657		34,886	-14,229				-14,229
13)		28,800		25,913	2,887				2,887
14)		31,707		53,866	-22,159				-22,159
15)		23,417		19,490	3,927				3,927
16)		5,817		17,417	-11,600				-11,600
17)		10,680		32,708	-22,028				-22,028
18)		11,607		24,749	-13,142				-13,142
19)		4,536		19,602	-15,066				-15,066
20)		204,518		50,876	153,642				153,642
21)		12,287		40,042	-27,755				-27,755
22)		50,284		130,635	-80,351				-80,351
23)		12,967		46,499	-33,532				-33,532
24)		83,258		67,344	15,914				15,914
25)		34		0	34				34
								TOTAL	<u>\$ 2428725</u>

**STATEMENT 8**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
DOROTHY D. MOLLER 5855 E. MOCKINGBIRD LANE SCOTTSDALE, AZ 85253	TRUSTEE AS NEEDED	\$ 0	\$ 0	\$ 0
TOTAL		<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

**THE DOROTHY D. AND JOSEPH A. MOLLER FOUNDATION  
2001 DEDUCTIBLE CONTRIBUTIONS**

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ACTON INSTITUTE 161 Ottawa NW, Suite 301 Grand Rapids, MI 49503	\$ 500 00
ADVENTURE UNLIMITED 5201 South Quebec Street Greenwood Village, CO 80111	5,000 00
ARIZONA ANIMAL WELFARE LEAGUE 30 N 40th Place Phoenix, AZ 85034	55,000 00
CAPITAL RESEARCH CENTER 1513 16th Street, N W Washington, DC 20036-1480	5,000 00
COMMUNITY OUTREACH 63701 E SaddleBrooke Blvd , Ste. G1 Tucson, AZ 85739	5,000 00
THE CRADLE 2049 Ridge Avenue Evanston, IL 60201	5,000 00
THE CRISIS PREGNANCY CENTERS P O Box 60336 Phoenix, AZ 85082	5,000 00
EDUCATION AND RESEARCH INSTITUTE 800 Maryland Ave , N E Washington, DC 20002	1,000 00
GUIDE DOG FOUNDATION FOR THE BLIND, INC 371 E Jencho Turnpike Smithtown, NY 11787-2976	3,000 00
THE HERITAGE FOUNDATION 214 Massachusetts Ave , N E Washington, DC 20002-4999	10,000 00



THE LEADERSHIP INSTITUTE 1101 North Highland Street Arlington, VA 22201	25,000 00
MEDIA RESEARCH CENTER 325 South Patrick Street Alexandria, VA 22314-3580	10,000 00
PACIFIC LEGAL FOUNDATION 10360 Old Placerville Rd , Ste 100 Sacramento, CA 95827	5,000 00
PHOENIX ART MUSEUM 1625 N Central Avenue Phoenix, AZ 85004-9977	5,000 00
SENIOR GLEANERS, INC 3185 Longview Drive North Highlands, CA 95660	5,000 00
SPECIAL OLYMPICS ARIZONA 3816 N 7th Street Phoenix, AZ 85014-5004	500 00
TIMBER TRAILS P O Box TT Sedona, AZ 86339	1,500 00
UNITED STATES BUSINESS & INDUSTRY COUNCIL EDUCATIONAL FOUNDATION 910 16th Street, N W , Suite 300 Washington, DC 20006	500 00
YOUNG AMERICA'S FOUNDATION Reagan Ranch 812B Anacapa Street Santa Barbara, CA 93101	1,000 00

**TOTAL****\$148,000.00**